

Estimating Problem Instance Difficulty

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Abstract: Even though for solving concrete problem instances, e.g., through case-based reasoning (CBR) or heuristic search, estimating their difficulty really matters, there is not much theory available. In a prototypical real-world application of CBR for reuse of hardware/software interfaces (HSIs) in automotive systems, where the problem adaptation has been done through heuristic search, we have been facing this problem. Hence, this work compares different approaches to *estimating* problem instance difficulty (similarity metrics, heuristic functions). It also shows that even *measuring* problem instance difficulty depends on the ground truth available and used. A few different approaches are investigated on how they statistically correlate. Overall, this paper compares different approaches to both estimating and measuring problem instance difficulty with respect to CBR and heuristic search. In addition to the given real-world domain, experiments were made using sliding-tile puzzles. As a consequence, this paper points out that admissible heuristic functions h guiding search (normally used for estimating minimal costs to a given goal state or condition) may be used for retrieving cases for CBR as well.

Notation

s, t	Start node and goal node, respectively
$c(m, n)$	Cost of the direct arc from m to n
$k(m, n)$	Cost of an optimal path from m to n
$g^*(n)$	Cost of an optimal path from s to n
$h^*(n)$	Cost of an optimal path from n to t
$g(n), h(n)$	Estimates of $g^*(n)$ and $h^*(n)$, respectively
$f(n)$	Static evaluation function: $g(n) + h(n)$
C^*	Cost of an optimal path from s to t
$N\#$	Number of nodes generated

1 Introduction

There is a lot of theory on *complexity classes* of problems, e.g., the famous issue of **P** vs. **NP**, but not much on the difficulty of solving concrete problem *instances* of the same problem *class* (e.g., the well-known Fifteen Puzzle, see <http://kociemba.org/themen/fifteen/fifteensolver.html>). For solving concrete problem instances, e.g., through *heuristic search* (Edelkamp and Schroedl, 2012; Pearl, 1984), however, estimating their difficulty really matters. Often, this is done there through heuristic functions $h(n)$, which estimate the cost from this particular instance n to a goal. In *case-based reasoning* (CBR) (see, e.g., (Goel and Diaz-Agudo, 2017)), estimating the effort for adapting some stored solution of a previously solved problem instance to a solution of a new problem instance is often indirectly addressed

by retrieving the nearest neighbor determined through *similarity metrics*. The underlying assumption is that the relative effort for solution adaptation of stored instances (cases) correlates with the similarity of these instances with the given problem instance.

In fact, there are two *different views of problem difficulty* involved here:

- The cost of the solution, and
- The effort of finding a solution.

Depending on the view taken, C^* (the cost of an optimal path from the start node s to a goal node t) is a good measure of problem difficulty in terms of the cost of a solution (for its execution by a robot, for instance), while the number of nodes generated and the time needed for finding a solution are good measures for the difficulty in terms of the effort for finding a solution. (Korf et al., 2001) provide an excellent study of the relation between the two for IDA* and optimal solutions. For finding error-bounded solutions, there is a trade-off, and finding any solution usually requires much less effort, of course.

For both heuristic search and CBR, this paper compares different approaches to estimating problem *instance* difficulty. Originally, the motivation for this investigation came from a prototypical real-world application of CBR, where the problem adaptation has been done through heuristic search, see

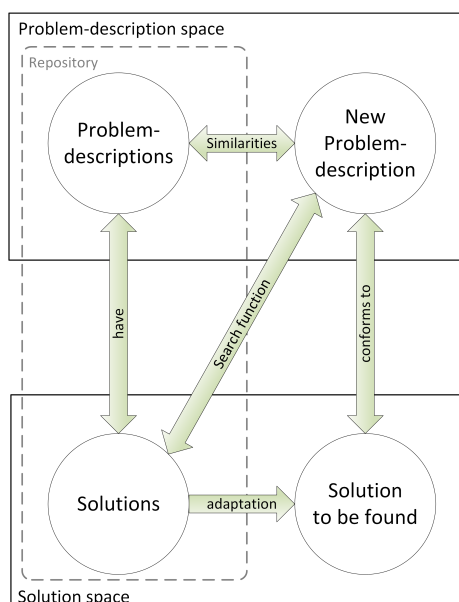


Figure 1: Terminology Clarification

(Rathfux et al., 2019a; Rathfux et al., 2019b). More specifically, this heuristic search uses A* (Hart et al., 1968), so that the solutions have guaranteed minimal cost (actually, a minimum number of steps), which was desired in this domain. The overall theme of this work was reusing hardware/software interfaces (HSIs) in automotive systems. After having a stored most similar one selected and retrieved, its configuration is transformed to another one that fulfils all the new requirements. The number of transformation steps is desired to be minimal in order to avoid having to create new hardware. Since we defined an *admissible* heuristic h , A* guarantees optimal solutions. An interesting question was then, whether to use this heuristic h instead of similarity metrics for selecting a stored HSI.

In order to avoid potential misunderstandings of certain key notions (such as “solution”) in this combination of heuristic search and CBR, Figure 1 provides an overview. It distinguishes a *problem-description space* and a *solution space*. Each stored case in the repository has a representation in both spaces. In the problem-description space, the requirements on a new HSI are specified, and in the solution space the internal configuration of the HSI fulfilling them is stored. Heuristic search is used here for finding a solution in the sense of a sequence of transformations from a given HSI to another one that fulfils the requirements (which define the goal conditions for the search). In CBR, a major step is the selection of the “best” case in the repository for adaptation of its stored solution (here in the sense of a given HSI) to a solution of

the new case (an HSI fulfilling the new requirements). While this selection is usually done through similarity metrics, in the special case of automatic adaption using heuristic search, there is the additional possibility to estimate the adaptation effort using its heuristic function.

For studying different estimation techniques (in the sense of heuristic functions and similarity metrics) more generally, we also employed the well-known Fifteen Puzzle. In contrast to the real-world domain, it is widely known and was often used in the literature on heuristic search. All the results can be easily replicated publicly. This version of the sliding-tile puzzle is only used here as a vehicle for comparing such estimates (including simpler and less precise ones) and *not* for presenting a new search algorithm that would be faster than any other before (by using the best heuristic estimate known), when the Twenty-four Puzzle would have to be the choice today, such as in (Bu and Korf, 2019).

Comparing different estimates needs to refer to “real” problem instance difficulty, whatever this may mean precisely. However, this raises yet another issue, how that can be measured. For the Fifteen Puzzle, usually the instances are ordered according to the lengths of their minimal solution paths C^* (due to *unit costs*, the length is the same here as the cost of an optimal path). But how well do they correlate with the numbers of nodes explored by some algorithm for solving them? And how do the numbers of nodes explored by, e.g., A* correlate with those of IDA* (Korf, 1985)? In addition, it makes a (theoretically understood) difference of whether optimal solutions are to be found, or solutions with a bounded error, or just any solutions. For our experiment, we deal here with finding optimal solutions through heuristic search, since it provides a well-defined case for comparing the search effort with estimates.

Hence, this paper actually compares different approaches to both estimating and measuring problem (instance) difficulty with respect to CBR and heuristic search. For estimating, we employ both various similarity metrics and different h functions. For measuring problem instance difficulty, we employ minimum solution length (cost), the number of nodes generated for solving them by some algorithm, and two new measures very recently defined by us in (Rathfux et al., 2019b).

The remainder of this paper is organized in the following manner. First, we present some background material and discuss related work, in order to make this paper self-contained. Then we explain several approaches to measuring problem instance difficulty, followed by explaining several approaches to estimat-

ing it. Based on all that, we present our experimental results and interpret them. Finally, we provide a conclusion based on our experimental results.

2 Background and Related Work

2.1 CBR in a Nutshell

Case-based Reasoning (CBR) is an approach to solve problem instances based on previously solved problem instances (Kolodner, 1993). It is assumed to be closely related to the way a person solves problems: by recalling past experiences and applying that knowledge to the new problem, or in other words, mapping the new problem to previous experiences. For the cognitive science foundations of CBR see (Lopez de Mantaras et al., 2005).

The first CBR systems have been developed in the early 1980s and were based on the work of (Schank, 1983). Essentially, CBR is a cyclic process for solving problems (Aamodt and Plaza, 1994) and consists of four major process steps. First, a fitting problem instance for a new problem instance is *retrieved* from the repository. This step is typically performed using *similarity metrics*. Next, the solution of the retrieved problem instance is *reused* by adapting it to the new problem instance. Note, that this step may be supported by an automatic adaption of the given problem instance to the new one by using, e.g., heuristic search. The resulting solution is then tested and, if necessary, *revised*. Finally, the resulting solution is stored, together with the new problem instance, in the repository, i.e., the new knowledge is *retained* in the repository.

CBR has been applied in a variety of domains, see, e.g., (Burke et al., 2006; Bulitko et al., 2010; Kaindl et al., 2010). Search techniques have been used to help optimize a CBR system for predicting software project effort in (Kirsopp et al., 2002). An early combination of CBR with minimax search for game-playing can be found in (Reiser and Kaindl, 1995).

2.2 Similarity Metrics

Similarity metrics (Cha, 2007) are used to determine how similar two objects are to each other, e.g., in CBR. It is an approach commonly used in information retrieval systems, e.g., to compare text documents, or for clustering of data in data mining applications (Bandyopadhyay and Saha, 2012). For each object, a similarity value can be calculated in relation

to another object, i.e., each pair of objects has a similarity score assigned. Thus, attributes of objects need to be quantified so that they can be used in similarity metrics. Typically, similarity scores are normalized values in the interval $[0, 1]$, where 0 indicates no similarity at all and 1 indicates maximum similarity. A popular similarity metric, among others, is the *Cosine Similarity* (Sohangir and Wang, 2017).

2.3 A* and IDA*

The traditional *best-first* search algorithm A* (Hart et al., 1968) maintains a list of so-called *open* nodes that have been generated but not yet expanded, i.e., the frontier nodes. It always selects a node from this list with minimum estimated cost, one of those it considers “best”. This node is expanded by generating all its successor nodes and removed from this list. A* specifically estimates the cost of some node n with an evaluation function of the form $f(n) = g(n) + h(n)$, where $g(n)$ is the (sum) cost of a path found from s to n , and $h(n)$ is a heuristic estimate of the cost of reaching a goal from n , i.e., the cost of an optimal path from s to some goal t . If $h(n)$ never overestimates this cost for all nodes n (it is said to be *admissible*) and if a solution exists, then A* is guaranteed to return an *optimal* solution with minimum cost C^* (it is also said to be *admissible*). Under certain conditions, A* is optimal over admissible unidirectional heuristic search algorithms using the same information, in the sense that it never expands more nodes than any of these (Dechter and Pearl, 1985). The major limitation of A* is its memory requirement, which is proportional to the number of nodes stored and, therefore, in most practical cases exponential.

IDA* (Korf, 1985) was designed to address this memory problem, while using the same heuristic evaluation function $f(n)$ as A*. IDA* performs iterations of *depth-first* searches. Consequently, it has linear-space requirements only. IDA*'s depth-first searches are guided by a threshold that is initially set to the estimated cost of s ; the threshold for each succeeding iteration is the minimum f -value that exceeded the threshold on the previous iteration. While IDA* shows its best performance on trees, one of its major problems is that in its pure form it cannot utilize duplicate nodes in the sense of *transpositions*. A transposition arises, when several paths lead to the same node, and such a search space is represented by a *directed acyclic graph* (DAG). IDA* “treeifies” DAGs, and this disadvantage of IDA* relates to its advantage of requiring only linear space.

In principle, we could have used for this research other search algorithms as well, e.g., with limited

memory (Kaindl et al., 1995) or for bidirectional search. (Kaindl and Kainz, 1997), but experiments using A* and IDA* were sufficient.

2.4 HSI Domain

We use the real-world domain of Electronic Control Units (ECUs) and HSIs in automotive vehicles for our experiment. In this domain, each ECU provides an HSI through which external hardware components can communicate with internal software functions. The software of these ECUs runs on a microcontroller with internal resources, and the external hardware components require some of them for functioning as needed. In addition, hardware components may be placed on the ECU to pre-process signals from external hardware, so that they can be mapped to or access resources. The external hardware is connected to the ECU via pins, and the ECU-pins are routed through hardware components on the ECU to pins of the microcontroller (μ C-pin). Each μ C-pin is internally connected to several resources, e.g., an Analog-Digital-Converter (ADC). The hardware components together with selected resources on connected μ C-pins provide a specific interface type on an ECU-Pin, which an external hardware can use. All the selected interface types together specify the HSI.

External hardware requires certain functionality on ECU-pins for its own functioning as needed. Therefore, *requirements* are specified that an ECU and its HSI have to fulfill. An ECU is only satisfactory for the customer if all requirements of all external hardware are met. To fulfill requirements, specific types of resources in the microcontroller (μ C-Resources) have to be made available to ECU-pins via hardware components. The connections of ECU-pins to hardware components and from hardware components to μ C-pins are fixed. Each μ C-pin is connected to several μ C-Resources, but only one of them can be used at the same time. However, which μ C-Resource is selected on a specific ECU is variable and can be configured. Hence, this variability provides options to fulfill requirements. In essence, this variability defines the search space for finding optimal solutions.

This domain knowledge is captured in the *meta-model* published in our previous work (Rathfux et al., 2019a), where also HSI problem instances and solutions are included. We employ *design-space exploration* using the VIATRA2 tool (Hegedus et al., 2011), which provides an infra-structure for heuristic search based on model-transformations, which implement activating or deactivating a connection to a μ C-Resource on the model, until all the goal conditions are fulfilled as specified by the requirements.

VIATRA2 also provides an implementation of A*, for which we defined an admissible heuristic function in (Rathfux et al., 2019b).

Note, that that the overhead of A* for maintaining its priority queue does not matter in such a model-driven implementation, since it can only visit about 1,000 nodes per second for our application on a state-of-the-art laptop. In a very efficient implementation of the Fifteen Puzzle in C, A* can visit on the same hardware three orders of magnitude more nodes per second, and IDA* even four.

3 Measuring and Estimating Problem Instance Difficulty

We provide here the definitions of measures and estimates used in our experiment. Two of them are actually hybrids in the sense that a measure is combined with an estimate.

3.1 Measuring

For measuring the difficulty of some problem instance in some domain (as compared to the difficulty of other problem instances), the question arises, what “problem instance difficulty” really means. Another question is what ground truth is available and used for measuring it. Of course, all of these measures are only available after the fact, so that *estimating* is necessary in practice (see below). However, our experiment had them available, of course. For getting a better theoretical understanding, it is still useful to study relations between measures and estimates, see also (Korf et al., 2001) for the number of nodes explored by IDA* using a given heuristic h , based on knowledge about its distribution over the problem space.

According to one view, the difficulty of a problem instance is the running time it takes to solve it (and indirectly also the size of memory used). This time is usually proportional to the number of nodes explored for a given algorithm like IDA* (with only linear memory requirements). This depends on the algorithm used, however! In particular, this is different for A*, even though IDA* is conceptually derived from A*. However, the memory need of A* grows with the number of nodes explored, and maintaining the stored nodes for fast access incurs additional overhead.

According to the other view, which is independent from a specific algorithm, is C^* , the cost of an optimal path from s to t (for unit costs, its length). The assumption is that C^* of a given problem instance cor-

relates with the time it takes for solving it. How strong is this correlation actually?

3.2 Estimating

For *estimating* problem instance difficulty, let us distinguish here admissible heuristics from similarity metrics, where the former are employed for heuristic search and the latter for CBR.

3.2.1 Admissible Heuristics

Such heuristics fully depend, of course, on the problem domain. For the real-world HSI domain, we had to develop an admissible heuristic on our own before, which is sketched in (Rathfux et al., 2019a) and more formally defined in (Rathfux et al., 2019b). Let us denote it as h_{HSI} here. Since we followed the constraint relaxation meta-heuristic (given already in (Pearl, 1984)) for its development, h_{HSI} is actually *consistent*, but this is only relevant for our purposes here for implying admissibility of h_{HSI} .

For the Fifteen Puzzle domain previously studied in-depth, all the heuristics we include in our experiment of estimating problem instance difficulty are well-known, published and their admissibility is proven. In fact, already in (Pearl, 1984) the constraint relaxation meta-heuristic was illustrated for two such heuristic functions:

- h_{TR} , simply counting the number of tiles that are positioned “right”, i.e., in the position as defined by the target configuration t ,
- h_M , the so-called *Manhattan Distance* heuristic.

Both of them obviously return estimated numbers of steps that are at least needed to arrive at the target node t . While h_{TR} provides only very rough estimates, h_M is obviously more accurate. Both, however, cannot compare with specifically pre-compiled *pattern databases*, see (Felner et al., 2004). Essentially, these cache heuristic values from breadth-first searches from the target node t backwards. In contrast to computing heuristic values on demand like h_M , pattern databases are pre-computed for later use in heuristic search for some given problem instance. They may largely differ in their sizes, e.g., a static 5-5-5 partitioning version requires about 3MB, 6-6-3 partitioning about 33MB, and 7-8 partitioning more than half a GB. The heuristic function using these small, medium-sized and large versions are denoted below as h_{P555} , h_{P663} and h_{P78} , respectively.

3.2.2 Similarity Metrics

One possibility to calculate similarity metrics is using vectors encoding information. We studied different variations on how to create such vectors.

In the HSI domain, we used bit-vectors. A bit-vector is a vector where each entry is either true (1) or false (0). We constructed the vectors using the requirements given for each pin. A vector has $n \times i$ entries, where n is the number of ECU-Pins and i is the number of interface types. Each entry in the vector corresponds to interface type it on ECU-Pin p and is 1 if his particular interface type is required on this pin. Using these vectors, the heuristic value for s_{H_BIT} can be calculated by counting the entries that are the same in both the original vector and the vector to be compared to. s_{RR_BIT} is defined analogously, but instead of counting the matching entries the differing entries are counted. Hence, this is actually a *dissimilarity* metric.

Additionally, we constructed vectors using the interface types of requirements at each ECU-Pin. In contrast to the vectors described above, we used the interface types directly (as a string representation) in the vector. Hence, these vectors have a maximum of n entries, where n is the number of ECU-Pins. It is a maximum of n , since we did not include ECU-Pins without interface types. Using such vectors, we defined a similarity metric s_R where we counted the conformance of interface types on ECU-Pins of two HSIs. To normalize these similarity values, they are divided by the number of rows of the larger vector.

A more usual approach to calculating similarity metrics is using *Cosine* similarity, which essentially calculates the angle between two vectors. Two vectors that have the same orientation have a similarity score of 1, where two vectors that are orthogonal to each other have a similarity score of 0. This similarity metric is not dependent on the domain and, thus, we employed it in the HSI as well as the Puzzle domain. The calculation of the cosine similarity is shown in Equation 1.

$$s_{Cos}(s) = \cos(\theta) = \frac{A \cdot B}{\|A\| \cdot \|B\|} = \frac{\sum_{i=1}^N A_i \cdot B_i}{\sqrt{\sum_{i=1}^N A_i^2} \cdot \sqrt{\sum_{i=1}^N B_i^2}} \quad (1)$$

In the HSI domain, we defined the cosine similarity s_{COS_BIT} using the same bit-vectors as for s_{H_BIT} . By including the interface types of each requirement, we defined two interface similarity metrics s_{IT} and s_{ITR} . These metrics construct their vectors based on all available interface types and count their occurrences. In s_{ITR} , we additionally took the requirements into account.

For the puzzle domain, we defined the cosine similarity s_{COS} as well. We used the values of the tiles and their positions for the construction of the vector and compared it with the vector of the goal state.

For defining another metric $s_h(s)$, we used the count of all tiles that are already positioned correctly, i.e., are in the same position they have to be in the target state t , and divided it by the number of tiles, making it normalized in the interval $[0, 1]$. This metric is shown in Equation 2.

$$s_h(s) = \frac{\sum_{n=0}^{15} \text{TilePosCorrect}_n}{15} \quad (2)$$

The same metric can also be defined using the heuristic h_{TR} from above as shown in Equation 3.

$$s_h(s) = \frac{h_{tr}}{15} \quad (3)$$

This approach is the same as the one for the Russel-Rao Dissimilarity s_{RR} , where the tiles that are not in the right position are used for its calculation.

3.3 Hybrids of Measuring and Estimating

Since “blind” algorithms like Dijkstra’s famous shortest-path algorithm cannot solve “difficult” problem instances, algorithms like A* and IDA* employ heuristic estimates h and still guarantee that a solution found is optimal, if h is *admissible* (see also above). The time they take for that heavily depends on the quality of h used (see, e.g., (Pearl, 1984)). Hence, we found it interesting to combine h with a measure into a hybrid, for getting yet another view.

In fact, (Korf et al., 2001) showed that the effect of a heuristic function is to reduce the effective depth of search by a constant, relative to a brute-force search, rather than reducing the effective branching factor. And especially for distinguishing “easy” problems in the HSI domain from the others, d_{abs} turned out to be very useful in (Rathfux et al., 2019b):

$$d_{abs}(s) = C^* - h(s) \quad (4)$$

$$d_{rel}(s) = \frac{d_{abs}(s)}{C^*} \quad (5)$$

For using d_{rel} before, however, we did not yet gain any experience. Still, it provides yet another view, since d_{abs} is most likely not very useful when comparing solutions with a large difference in cost.

Below, we use these formulas in the category of measures, since by including C^* they cannot be used for estimating in the course of a search for a newly given problem instance.

4 Experiment

The experiment is designed to explore how these different approaches to estimating problem instance difficulty relate to measuring problem instance difficulty, where also for the latter different approaches are compared as listed above. Results on this relationship are presented both using Pearson correlation coefficients, and how many selection errors the different estimates make, as counted with respect to the various measuring approaches. All this has been done in two domains, the proprietary HSIs and the widely known Fifteen Puzzle.

4.1 Experiment Design

First, we created a repository of problem instances for both domains by running A* and for the Fifteen Puzzle also IDA*, and with a variety of admissible heuristics to find optimal solutions. We stored for each problem instance the values C^* , d_{abs} , d_{rel} and $N\#$ (the number of nodes generated by A* and IDA*, respectively). Since d_{abs} , d_{rel} also depend on the heuristic used, we stored these values for each of them, and the values calculated by the various heuristic functions separately as well. In addition, we calculated the values of a variety of similarity metrics (as explained above) and stored them.

For studying the correlation between the values of heuristic functions, similarity metrics and the different measures of the ground truth, we calculated *Pearson correlation coefficients*:

$$r = \frac{\sum_{i=1}^n (x_i - \bar{x}) \cdot (y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2} \cdot \sqrt{\sum_{i=1}^n (y_i - \bar{y})^2}} \quad (6)$$

If the absolute value of a correlation coefficient is higher than the absolute value of another one, the first one indicates a stronger relationship. In fact, we actually calculated these coefficients between all these values, since we were interested in the correlations among the estimates and also among the measures as well.

However, Pearson correlation coefficients are defined for *linear* correlations. h -values as estimates of C^* , however, are usually exponentially related to the number of explored nodes in searches by A*, IDA* and the like, for finding optimal solutions. That is why we determine Pearson correlation coefficients between h -values and the *logarithm* of the number of nodes.

In addition, for each Pearson correlation coefficient we determined its *significance* as the probability p that it could be the result of random fluctuation.

All the calculations of Pearson correlation coefficients and their significance were run using Matlab.

In addition, we were interested in the respective numbers of *selection errors* that each of the estimates would make when being used for selecting a case from a case base. An error means to select a case that is worse than another one with respect to the problem instance difficulty. Since we have different measures for that, we were interested in the numbers of errors for each of them. However, executing an experiment directly with a case base and then finding optimal solutions for each of its cases stored and the given goal (state or condition, respectively) would be very expensive.

Instead, we used the repository initially created as follows. For each of its entries, we defined all the tuples $(est, diff)$ where for each *est* through a heuristic function or similarity metric, respectively, and for each measure of problem instance difficulty *diff*, one of the latter is assigned to the former. For each tuple, we calculated how many *errors* it induces. To calculate these errors, we ordered all tuples by their similarity or heuristic values, respectively, in a vector, and then checked it against the vector of the corresponding measure. The assumption is that a higher similarity value or a lower heuristic value, respectively, indicates a case with lower problem instance difficulty than another case with lower similarity or higher heuristic value, respectively. Hence, the order defined by the respective measure should be the same, e.g., the number of nodes generated $N\#$. Given that, an error is defined to occur for a given tuple, if the orders in the corresponding vectors are different.

As an example, let us consider two entries $(15, 4)$ and $(16, 3)$, which are ordered in the sequence $\langle (15, 4), (16, 3) \rangle$ based on their estimate. Since the second tuple $(16, 3)$ has a higher estimate but lower difficulty measure, e.g., C^* , than the previous one, then it is counted as an error.

As some values of the tuples in a given vector may have the same similarity or heuristic value, respectively, we shuffled all tuples and then ordered them, introducing a different order of tuples with the same estimate each time. We ran these process 100 times for each measure and calculated the mean and median values of the numbers of errors.

Of course, we included for both domains problem instances with varying difficulty. The C^* values varied from 3 to 17 for the HSI domain, and from 41 to 66 for the Fifteen Puzzle. Since $N\#$ depends on the algorithm used, we attempted to perform the searches with both A^* and IDA^* , in order to investigate the correlation between their respective $N\#$ values. Unfortunately, using IDA^* is infeasible for the

more difficult HSI problem instances due to the very high number of DAGs in this search space. (There are known means to address this, but then it would become some variant of IDA^* .) For the Fifteen Puzzle, it was possible to solve all the 100 random instances listed in (Korf, 1985) running A^* on a state-of-the-art laptop with 32GB of memory.

More precisely, we executed the experiment runs on a standard Windows laptop computer with an Intel Core i7-8750H Processor (9MB Cache, up to 4.1 GHz, 6 Cores). It has a DDR4-2666MHz memory of 32GB. The disk does not matter, since all the experimental data were gathered using the internal memory only.

4.2 Experimental Results

After having run the experiment as designed above for both the HSI domain and the Fifteen Puzzle, we got the results as presented below.

4.2.1 HSI Domain

In the HSI domain, we used more than 1,000 HSI specifications for our experiment. These specifications have been randomly adapted from ten base configurations and are spread across three categories: Base5, Base7 and Base9. These categories indicate the number of requirements that are already configured and fulfilled by the HSI.

Each of the 1,000 cases has between three and seventeen randomly selected requirements. For each of these cases, their h_{HSI} and their similarity values (using a variety of metrics as defined above) were calculated with regard to fitting base models, e.g., models using the same ECU. An automatic adaptation from each of the 1,000 HSI specifications to ones that satisfy the new requirements (as goal specifications) has been performed through heuristic search using A^* with our admissible heuristic function h_{HSI} . This guarantees an optimal solution C^* each, i.e., one with a minimal number of adaptation steps.

For these data, all correlation coefficients between the measures of the ground truth and the heuristic functions and similarity metrics, respectively, are given in Table 1. All the data in this table are highly statistically significant with $p < 0.01$. A higher (absolute) value indicates a stronger correlation with C^* and thus a better estimate. Some of these correlation coefficients are extremely high, such as the one between h_{HSI} and C^* . That is, this heuristic function is very good at estimating the number of steps minimally required for solution adaptation. The reason is that the vast majority of problem instances is “easy” in the sense that they require no reconfigurations, and

only a few problem instances actually require a few reconfigurations, see (Rathfux et al., 2019b). Apart from that, h_{HSI} captures the knowledge for estimation very well. It is actually because of this distribution of problem instances that also some of the similarity metrics correlate with C^* so strongly. The difference in the knowledge involved only matters for very few problem instances here. For the absolute and the relative error measures of the ground truth, however, the correlation is very weak. The reason is that both $d_{abs} = d_{rel} = 0$ for the majority of problem instances here.

Table 1: Correlation coefficients — HSI

	h_{HSI}	s_{IT}	s_{ITR}	h_R	s_{COS_BIT}	h_{H_BIT}	s_{RR_BIT}
C^*	0.99	0.32	0.31	0.99	0.89	0.99	0.99
C^*-h_{HSI}	0.02	0.08	0.08	0.02	0.02	0.02	0.02
$(C^*-h_{HSI})/C^*$	0.04	0.08	0.08	0.04	0.05	0.04	0.04
$N\#A^*$	0.63	0.20	0.19	0.63	0.52	0.63	0.63

Even for the numbers of nodes generated by A^* , more precisely $\ln(N\#A)^*$, the correlations with some of the estimates are fairly high. For the given problem instances, where only a few problem instances actually require a few reconfigurations, these numbers can be predicted well.

We also calculated the numbers of errors for the heuristic function and each similarity metric. Table 2 shows the numbers of selection errors in the HSI domain. (More precisely these are the mean values as explained above, and we omit the median values since they are consistent with the mean values, i.e., outliers do not play a role here.) In fact, the numbers of errors of predicting $N\#A^*$ are very high. The selection results of predicting the other measures of the ground truth are much better.

Table 2: Numbers of selection errors — HSI

	h_{HSI}	s_R	s_{ITR}	s_{IT}	s_{COS_BIT}	s_{RR_BIT}	s_{H_BIT}
C^*	16.39	16.33	328.82	338.14	65.93	16.47	16.42
C^*-h_{HSI}	16.77	16.75	16.17	16	16.65	16.68	16.63
$(C^*-h_{HSI})/C^*$	16.63	16.68	16.23	16	16.66	16.67	16.62
$N\#A^*$	495.46	496.44	504.97	498.28	495.26	496.76	496.57

4.2.2 Fifteen Puzzle

As indicated above, we ran the set of 100 random Fifteen Puzzle instances published in (Korf, 1985) for gathering their data on the different heuristics h_{TR} , h_M , h_{P555} , h_{P663} and h_{P78} , as well as the C^* , d_{abs} and d_{rel} data (for the latter with all these heuristics). Since both IDA* and A^* were able to solve all those instances even when using h_M (but not with h_{TR}), we were also able to get the data on $N\#IDA^*$ and $N\#A^*$,

more precisely the various numbers when using the different heuristic functions (except h_{TR}).

For these data, all correlation coefficients between the measures of the ground truth and the heuristic functions and similarity metrics, respectively, are given in Table 3. Note again, that we determined Pearson correlation coefficients between each h -value and the logarithm of the number of nodes. As a base, we took the value 2.1304 from (Korf et al., 2001), since it was determined there as the asymptotic branching factor for the Fifteen Puzzle. Most of the data in this table are highly statistically significant with $p < 0.01$, while especially those related to $N\#IDA^*$ are only statistically significant with $p < 0.05$, which is due to the fluctuation of the $N\#IDA^*$ data. For the heuristic functions used here, their respective domain knowledge involved and their corresponding accuracy are known. In fact, it is exactly reflected here in their correlation with C^* . Estimates with more knowledge correlate more strongly with C^* than the ones with less knowledge. The similarity metric corresponding to h_{TR} in this regard, *Hamming*, has more or less the same correlation coefficient. In contrast, *Cosine* corresponds much better with C^* than *Hamming*. Still, its (absolute) correlation coefficient is much lower than the ones of the heuristic functions incorporating more domain knowledge. For the absolute and the relative error measures of the ground truth, however, this pattern is less clearly pronounced. d_{abs} is very hard to estimate for similarity metrics, which makes sense, since a heuristic function is actually part of its calculation. However, also for heuristic functions estimating d_{abs} is difficult. The same applies to d_{rel} .

Table 3: Correlation coefficients — Fifteen Puzzle

	h_M	h_{P555}	h_{P663}	h_{P78}	h_{TR}	s_H	s_{COS}	s_{RR}
C^*	0.77	0.84	0.86	0.91	0.23	0.24	0.52	0.24
$N\#IDA^*h_M$	0.30	0.49	0.50	0.57	0.02	0.01	0.21	0.01
C^*-h_M	0.22	0.07	0.07	0.20	0.38	0.38	0.10	0.38
$(C^*-h_M)/C^*$	0.64	0.34	0.35	0.24	0.54	0.54	0.36	0.54
$N\#IDA^*h_{P555}$	0.41	0.49	0.51	0.58	0.05	0.05	0.30	0.05
C^*-h_{P555}	0.18	0.10	0.23	0.38	0.06	0.04	0.17	0.04
$(C^*-h_{P555})/C^*$	0.19	0.31	0.16	0.01	0.05	0.08	0.06	0.08
$N\#IDA^*h_{P663}$	0.37	0.47	0.46	0.55	0.01	0.02	0.27	0.02
C^*-h_{P663}	0.11	0.17	0.09	0.32	0.02	0.04	0.14	0.04
$(C^*-h_{P663})/C^*$	0.25	0.21	0.31	0.07	0.14	0.17	0.09	0.17
$N\#IDA^*h_{P78}$	0.31	0.40	0.41	0.44	0.01	0.00	0.24	0.00
C^*-h_{P78}	0.09	0.17	0.16	0.12	0.02	0.03	0.00	0.03
$(C^*-h_{P78})/C^*$	0.19	0.14	0.15	0.22	0.11	0.12	0.19	0.12
$N\#A^*h_M$	0.40	0.57	0.58	0.66	0.02	0.03	0.29	0.03
$N\#A^*h_{P555}$	0.49	0.56	0.59	0.66	0.10	0.10	0.37	0.10
$N\#A^*h_{P663}$	0.40	0.49	0.48	0.59	0.04	0.04	0.33	0.04
$N\#A^*h_{P78}$	0.35	0.45	0.45	0.48	0.02	0.03	0.25	0.03

For the numbers of nodes generated by IDA* and A*, respectively, more precisely their logarithm, the correlations are lower than in the HSI domain. This can be explained by the fact that the problem instances in the HSI domain are much less difficult, both with respect to their solutions lengths and the effort to determine optimal solutions (in terms of the numbers of nodes explored). In addition, IDA* shows stronger fluctuations of these numbers than A*, when both use the same admissible heuristic. This can also be seen in Figure 2 (generated by a clustering algorithm), where all the correlations are visualized as distances between nodes. If the distance between two nodes is small then this indicates a strong correlation and vice versa a larger distance indicates a weak correlation. The distances in the picture between C^* and the data points corresponding to the numbers of nodes generated are not smaller than those between C^* and most of the heuristic functions. The distance from C^* to the cluster of similarity metrics, however, is clearly larger, and this corresponds well with the observation made above, of course. In fact, all the heuristic functions attempt to estimate C^* somehow, especially the ones based on pattern databases and to a lesser extent h_M .

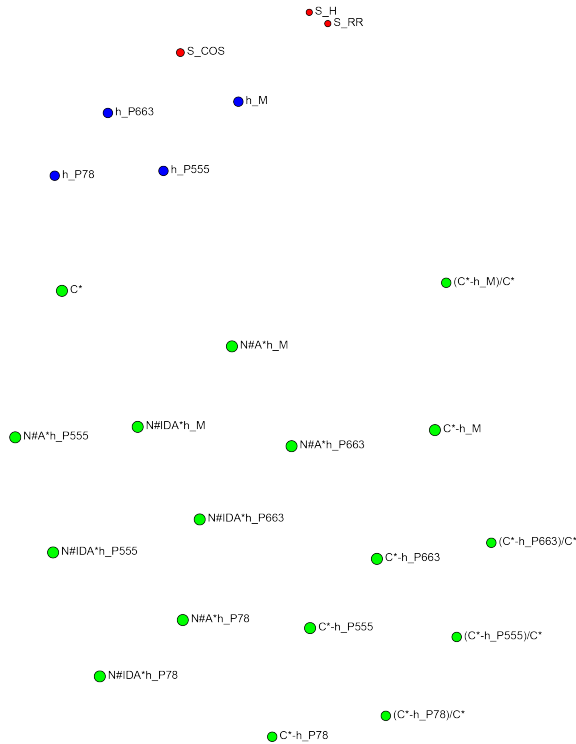


Figure 2: Two-dimensional clustering of correlations — Fifteen Puzzle

Overall, Figure 2 actually illustrates clusters. There are two distinct clusters among the ground truth

Table 4: Numbers of selection errors — Fifteen Puzzle

	h_{TR}	h_M	h_{P555}	h_{P663}	h_{P78}	s_{COS}	s_{RR}	s_H
C^*	46.79	41.76	37.32	38.82	36.83	45.21	46.53	46.73
$N\#IDA^*h_M$	49.95	48.73				49.54	49.25	49.85
$N\#IDA^*h_{P555}$	49.58		50.29			48.53	49.52	49.52
$N\#IDA^*h_{P663}$	49.17			48.39		49.77	49.68	49.66
$N\#IDA^*h_{P78}$	49.81				49.59	53.24	49.74	49.42
$N\#A^*h_M$	49.02	49.28				50.86	49.93	49.81
$N\#A^*h_{P555}$	49.68		49.39			49.36	49.93	49.59
$N\#A^*h_{P663}$	49.12			48.62		49.77	49.98	49.77
$N\#A^*h_{P78}$	50.11				49.7	48.49	49.86	49.45
C^*-h_M	41.61	42.83				42.22	42.18	41.83
$(C^*-h_M)/C^*$	49.01	44.87				49.15	48.76	49.31
C^*-h_{P555}	41.09		38.31			38.73	41.13	41.13
$(C^*-h_{P555})/C^*$	49.06		41.52			47.71	48.69	48.69
C^*-h_{P663}	40.18			39.14		39.96	39.89	40.67
$(C^*-h_{P663})/C^*$	49.14			41.88		49.54	49.02	49.26
C^*-h_{P78}	37.81				38.27	38.6	37.73	37.26
$(C^*-h_{P78})/C^*$	48.4				43.08	50.76	48.86	48.17

values. The first one contains all $N\#$ values. Although these values vary strongly in the number of nodes, they correlate well with each other. The second cluster contains the variations of d_{rel} and d_{abs} . They do not correlate well with estimating functions, but with each other.

Finally, we also checked the number of errors during selection, see Table 4. The somewhat surprising result is, that a higher correlation coefficient does not necessarily carry over to a reduction of the number of errors. As pointed out above, $N\#$ are hard to estimate and even higher correlation coefficients do not yield lower error values when selecting. The best selections results are accomplished in terms of C^* . In this case, a higher correlation coefficient also means fewer errors during selection. Also, heuristics with more knowledge provide better results, and the heuristic functions lead to fewer selection errors than the similarity metrics in this regard.

5 Conclusion

In CBR, an underlying assumption is that the relative effort for solution adaptation of stored instances (cases) correlates with the similarity of these instances with the given problem instance. In our prototypical real-world HSI application, heuristic search is used for automatic solution adaptation. Hence, we conjectured that admissible heuristic functions h guiding search (normally used for estimating minimal costs to a given goal state or condition) may be used for retrieving cases for CBR as well. As a result of our experiment, we actually conclude that the numbers of

selection errors can be reduced with regard to the optimal solution length by using such heuristic functions, if they have more knowledge incorporated than the similarity metrics, and if the problem instances are difficult (as shown for the Fifteen Puzzle instances).

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